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PTO/SB/05 (2/98)

App. for use through 09/30/2000. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

| | | |
|--|---|------------------|
| Attorney Docket No. | | 1531.001000F |
| First Inventor or Application Identifier | | Kevin G. Rivette |
| Title | System, Method, and Computer Program Product for Mediating Notes and Note Sub-notes Linked or Otherwise Associated with Stored or Networked Web Pages | |
| Express Mail Label No. | | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 80]

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 50]

4. ☐ Oath or Declaration [Total Pages _____]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Two Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ *Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization

☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) application No. 09/057,557, filed April 9, 1998; and application No. 09/057,644, filed April 9, 1998

Prior application information: Examiner (to be assigned)

Group/Art Unit: (to be assigned)

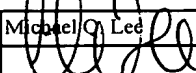
18. CORRESPONDENCE ADDRESS

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or ☒ Correspondence
address below

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| NAME | STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. | | | | |
| | Attorneys at Law | | | | |
| ADDRESS | Suite 600, 1100 New York Avenue, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20005-3934 |
| COUNTRY | USA | TELEPHONE | (202) 371-2600 | FAX | (202) 371-2540 |

| | | | |
|-------------------|---|-----------------------------------|---------|
| NAME (Print/Type) | Michael G. Lee | Registration No. (Attorney/Agent) | 35,239 |
| SIGNATURE |  | Date | 12/7/99 |

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

A

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STERNE, KESSLER, GOLDSTEIN & FOX P.C.
ATTORNEYS AT LAW
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, D.C. 20005-3934
(202) 371-2600
FACSIMILE: (202) 371-2540; (202) 371-6566

ROBERT GREENE STERNE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX
DAVID K.S. CORNWELL
ROBERT W. ESMOND
TRACY-GENE G. DURKIN
MICHELE A. CIBALA
MICHAEL B. RAY
ROBERT E. SOKOHL

ERIC K. STEFFE
MICHAEL O. LEE
STEVEN R. LUDWIG
JOHN M. COVERT*
LINDA E. ALCORN
RAZ E. FLESHNER
ROBERT C. MILLONIG
MICHAEL V. MESSINGER
JUDITH U. KIM
TIMOTHY J. SHEA, JR.

DONALD R. MCPHAIL
PATRICK E. GARRETT
STEPHEN G. WHITESIDE
JEFFREY T. HELVEY*
HEIDI L. KRAUS
JEFFREY R. KURIN
RAYMOND MILLIEN
PATRICK D. O'BRIEN
LAWRENCE B. BUGAISKY
CRYSTAL D. SAYLES*

EDWARD W. YEE
ALBERT L. FERRO*
DONALD R. BANOWIT*
PETER A. JACKMAN
MOLLY A. MCCALL
TERESA U. MEDLER
JEFFREY S. WEAVER
KRISTIN K. VIDOVICH
ANDREW S. ROBERTS*
KENDRICK P. PATTERSON*

DONALD J. FEATHERSTONE**
KAREN R. MARKOWICZ**
GRANT E. REED**
SUZANNE E. ZISKA**
BRIAN J. DEL BUONO**
VINCENT L. CAPUANO**
ANDREA J. KAMAGE**
NANCY J. DEGEN**

ROBERT H. BENSON*
OF COUNSEL

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS

December 7, 1999

WRITER'S DIRECT NUMBER:
(202) 371-2674
INTERNET ADDRESS:
MLEE@SKGF.COM

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. (to be assigned); Filed: (herewith) December 7, 1999
For: **System, Method, and Computer Program Product for Mediating
Notes and Note Sub-notes Linked or Otherwise Associated with
Stored or Networked Web Pages**
Inventors: Rivette *et al.*
Our Ref: 1531.001000F

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
2. U.S. Utility Patent Application entitled:

**System, Method, and Computer Program Product for Mediating Notes and
Note Sub-notes Linked or Otherwise Associated with Stored or Networked
Web Pages**

Assistant Commissioner for Patents

December 7, 1999

Page 2

and naming as inventors:

Kevin G. Rivette
Irving S. Rappaport
Luke Hohmann
Sandra L. Carrico
Andrew S. DeWolfe
Brent Rosenquist
David Koehn

the application consisting of:

- a. A specification containing a total of 80 pages:
 - (i) 73 pages of description prior to the claims;
 - (ii) 6 pages of claims (22 claims);
 - (iii) a one (1) page abstract;
- b. 50 sheets of drawings: (Figures 1-6, 7A-7I, 8-49); and
3. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3); and
4. Two (2) return postcards.

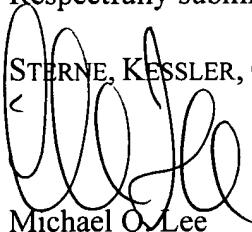
It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Assistant Commissioner for Patents
December 7, 1999
Page 3

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Michael Q. Lee". The signature is written in a cursive, flowing style with large loops.

Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

MQL/MAM/tp
Enclosures

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SKGF Rev. 11/9/99 mac

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Rivette *et al.*

Appl. No. (to be assigned)

Filed: (herewith) December 7, 1999

For: **System, Method, and Computer
Program Product for Mediating
Notes and Note Sub-notes Linked
or Otherwise Associated with
Stored or Networked Web Pages**

Art Unit: (to be assigned)

Examiner: (to be assigned)

Atty. Docket: 1531.011000F



**Authorization To Treat A Reply As Incorporating An Extension Of Time
Under 37 C.F.R. § 1.136(a)(3)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee
Attorney for Applicants
Registration No. 35,239

Date: 12/7/99

1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934
(202) 371-2600